

Horizontal factorizations of certain Hasse–Weil zeta functions — a remark on a paper by Taniyama

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1 Introduction

The paper [T] by Taniyama contains several results in the arithmetic theory of CM abelian varieties which have become well known. Moreover the notion of a compatible system $\rho = (\rho_l)$ of l -adic representations is introduced there for the first time. Under suitable conditions on ρ , Taniyama proves an interesting formula for the alternating product of the L -functions of the exterior powers of ρ : it is given by an infinite product of Artin L -functions each of which modified by a change of finitely many Euler factors. As an application, Taniyama obtains such a formula for the Hasse–Weil zeta function of an abelian scheme over a localized number ring.

These infinite product formulas of Taniyama seem to be little known but they motivated the works [JY] and [JR]. In [JY] Joshi and Yogananda show that the Hasse–Weil zeta function $\zeta_{\mathbb{G}_m}(s) = \zeta(s-1)/\zeta(s)$ of $\mathbb{G}_m = \operatorname{spec} \mathbb{Z}[T, T^{-1}]$ is an infinite product of Dirichlet L -series. This is a special case of Taniyama’s formulas but they give an elementary direct argument. In [JR] Joshi and Raghunathan express quotients of very general Dirichlet series with Euler products as infinite products of “twisted Dirichlet series”. The method is purely local. It applies in particular to the Hasse–Weil zeta function of $\mathfrak{X} \times \mathbb{G}_m$ where \mathfrak{X} is a scheme of finite type over \mathbb{Z} . In this case the formula has a geometric proof which was suggested by Serre, c.f. [JR] §3. Joshi and Raghunathan also obtain certain infinite product formulas for quotients of automorphic L -functions. Recently these have been related to canonical bases by Kim and Lee, [KL] Remark 2.21.

In the present note we interpret Taniyama's identity for the Hasse–Weil zeta function of an abelian scheme \mathcal{A} as a “horizontal factorization”: We write \mathcal{A} as an essentially disjoint union of horizontal prime divisors corresponding to certain closed points P on the generic fibre $A = \mathcal{A} \otimes \mathbb{Q}$. The residue field of each point P is a number field $K = K(P)$ and $\zeta_{\mathcal{A}}(s)$ is essentially the product of the corresponding Dedekind zeta-functions $\zeta_K(s)$. To get an exact formula one needs to change each $\zeta_K(s)$ at finitely many Euler factors. Our method is similar to the one suggested by Serre and used in [JR] §3 and surely our interpretation of Taniyama's formula is known to Serre. We found it before learning about [JR].

Section 2 contains a review of Taniyama's formula in the context of l -adic representations and a discussion of the examples coming from the l -adic cohomologies of \mathbb{G}_m and abelian varieties.

In section 3 we prove the “horizontal factorization” of the Hasse–Weil zeta functions of a class of group schemes \mathcal{G} containing all semi-abelian schemes. The method is geometric and elementary. For \mathbb{G}_m and abelian schemes the factorization formulas are the same as the ones in section 2 which follow from Taniyama's theorem. This clarifies the meaning of Taniyama's formulas in these cases.

In section 4 we give some generalizations. In particular there are “horizontal factorizations” for the zeta functions of arbitrary open subschemes in \mathbb{P}^N over $\text{spec } \mathbb{Z}$.

The first author would like to thank Dinakar Ramakrishnan for having shown him the infinite product formula in Taniyama's work many years ago.

2 Taniyama's product formula

We begin by recalling a result of Taniyama using the terminology of [S] Ch. I which developed from [T]. Let K/\mathbb{Q} be an algebraic number field with ring of integers \mathfrak{o}_K and absolute Galois group $G_K = \text{Gal}(\overline{K}/K)$. We fix algebraic closures and embeddings $\overline{\mathbb{Q}} \subset \mathbb{C}$ and $\overline{\mathbb{Q}} \subset \overline{\mathbb{Q}}_l$ for each prime number l . Consider a strictly compatible system of integral l -adic representations $\rho = (\rho_l)$ of G_K on free \mathbb{Z}_l -modules E_l of rank $d \geq 1$. Let S be the exceptional set of ρ . It is the smallest set of places of K such that for $\mathfrak{p} \notin S$ the representation ρ_l is unramified at \mathfrak{p} for each $\mathfrak{p} \nmid l$. For a finite place \mathfrak{p} of K and a place \mathfrak{P} of \overline{K} extending \mathfrak{p} let $I_{\mathfrak{P}} \subset G_{\mathfrak{P}} \subset G_K$ be the inertia and decomposition groups at \mathfrak{P} and denote by $F_{\mathfrak{P}} \in G_{\mathfrak{P}}/I_{\mathfrak{P}}$ the (arithmetic) Frobenius element.

If $\mathfrak{p} \notin S, \mathfrak{p} \nmid l$ the automorphism $F_{\mathfrak{P}, \rho_l} = \rho_l(F_{\mathfrak{P}})$ of E_l is well defined. Let $F_{\mathfrak{p}, \rho_l}$ be its conjugacy class in $\text{Aut } E_l$. By assumption,

$$P_{\mathfrak{p}, \rho}(T) = \det(1 - F_{\mathfrak{p}, \rho_l} T \mid E_l) \quad \text{and} \quad Q_{\mathfrak{p}, \rho}(T) = \det(F_{\mathfrak{p}, \rho_l} - T \mid E_l)$$

are polynomials of degree d in $\mathbb{Z}[T]$ which do not depend on l . It follows that $\det F_{\mathfrak{p}, \rho_l}$ is an integer independent of l . In this situation, Taniyama makes further assumptions:

(I) There is a non-negative integer w independent of $\mathfrak{p} \notin S$ such that all zeroes of $Q_{\mathfrak{p}, \rho}(T)$ in \mathbb{C} have absolute value $N\mathfrak{p}^{w/2}$.

(II) $\det F_{\mathfrak{p}, \rho_l}$ is positive i.e. $P_{\mathfrak{p}, \rho}(T) = (-1)^d a_d T^d + \dots + 1$ with $a_d > 0$ for any $\mathfrak{p} \notin S$.

(III) For $\mathfrak{p} \notin S$ with $\mathfrak{p} \mid l$ and any place $\mathfrak{P} \mid \mathfrak{p}$ view E_l as a $G_{\mathfrak{P}}$ -module. Then there are l -adic representations

$$\rho_l^0 : G_{\mathfrak{P}} \rightarrow \text{Aut}(E_l^0) \quad \text{and} \quad \rho_l^{\text{ét}} : G_{\mathfrak{P}} \rightarrow \text{Aut}(E_l^{\text{ét}})$$

on free \mathbb{Z}_l -modules E_l^0 and $E_l^{\text{ét}}$ with the following properties:

(1) There is an exact sequence of $G_{\mathfrak{P}}$ -modules

$$0 \longrightarrow E_l^0 \longrightarrow E_l \longrightarrow E_l^{\text{ét}} \longrightarrow 0 .$$

(2) The representation $\rho_l^{\text{ét}}$ of $G_{\mathfrak{P}}$ is unramified.

(3) The eigenvalues of $\rho_l^{\text{ét}}(F_{\mathfrak{P}})$ in $\overline{\mathbb{Q}_l}$ are exactly those zeroes of $Q_{\mathfrak{p}, \rho}(T)$ under the fixed embedding $\overline{\mathbb{Q}} \subset \overline{\mathbb{Q}_l}$ which are l -adic units.

The representation spaces E_l^0 and $E_l^{\text{ét}}$ depend on \mathfrak{p} . In particular their ranks may vary with \mathfrak{p} . Condition (III) needs to be checked for one place $\mathfrak{P} \mid \mathfrak{p}$ only.

Consider the L -function of ρ

$$L(\rho, s) = \prod_{\mathfrak{p} \notin S} P_{\mathfrak{p}, \rho}(N\mathfrak{p}^{-s})^{-1} .$$

By assumption it converges locally uniformly in $\text{Re } s > 1 + \frac{w}{2}$. More generally, the L -function of $\Lambda^i \rho$ converges in $\text{Re } s > 1 + \frac{iw}{2}$. In the theorem recalled below, Taniyama expresses the alternating product of the L -series $L(\Lambda^i \rho, s)$ as an infinite product of modified Artin L -series. They are defined as follows: Consider the free $\hat{\mathbb{Z}}$ -module $E = \prod_l E_l$ of rank d . It is a module under the

Galois group G_K . For a place $\mathfrak{p} \notin S$ of K and a place $\mathfrak{P} | \mathfrak{p}$ we also need the $\hat{\mathbb{Z}}$ -module

$$E_{\mathfrak{p}} = \prod_{\mathfrak{p} \nmid l} E_l \times \prod_{\mathfrak{p} | l} E_l^{\text{ét}}$$

with its $G_{\mathfrak{P}}$ -action via ρ_l and $\rho_l^{\text{ét}}$. For any integer $n \geq 1$ the finite $\mathbb{Z}/n = \hat{\mathbb{Z}}/n\hat{\mathbb{Z}}$ -modules $E(n) = E/nE$ and $E_{\mathfrak{p}}(n) = E_{\mathfrak{p}}/nE_{\mathfrak{p}}$ carry G_K - resp. $G_{\mathfrak{P}}$ -operations and for $\mathfrak{p} \nmid n$ we have $E_{\mathfrak{p}}(n) = E(n)$. By $E_{\mathfrak{p}}(n)^*$ and $E(n)^*$ we denote the subsets of elements of order n in $E_{\mathfrak{p}}(n)$ resp. $E(n)$. They are $G_{\mathfrak{P}}$ - resp. G_K -invariant. For $\mathfrak{p} \notin S$ and $\nu \geq 1$, Taniyama defines

$$\psi_n(\mathfrak{p}^{\nu}) := \text{Tr}(F_{\mathfrak{P}}^{\nu} | \mathbb{C}[E_{\mathfrak{p}}(n)^*]) .$$

This is independent of the choice of $\mathfrak{P} | \mathfrak{p}$ and it follows from the Chinese remainder theorem that for coprime n, m we have

$$\psi_{nm}(\mathfrak{p}^{\nu}) = \psi_n(\mathfrak{p}^{\nu})\psi_m(\mathfrak{p}^{\nu}) \quad \text{if } \mathfrak{p} \notin S . \quad (1)$$

We may also consider the Artin representation of G_K on $\mathbb{C}[E(n)^*]$. For its character we have in particular:

$$\varphi_n(\mathfrak{p}^{\nu}) = \text{Tr}(F_{\mathfrak{P}}^{\nu} | \mathbb{C}[E(n)^*]) .$$

This shows:

$$\psi_n(\mathfrak{p}^{\nu}) = \varphi_n(\mathfrak{p}^{\nu}) \quad \text{for all } \mathfrak{p} \notin S \text{ with } \mathfrak{p} \nmid n. \quad (2)$$

The L -function of ψ_n is defined by the formula

$$\begin{aligned} L(\psi_n, s) &= \exp \sum_{\mathfrak{p} \notin S} \sum_{\nu=1}^{\infty} \frac{\psi_n(\mathfrak{p}^{\nu})}{\nu} N\mathfrak{p}^{-\nu s} \\ &= \prod_{\mathfrak{p} \notin S} \det(1 - F_{\mathfrak{P}} N\mathfrak{p}^{-s} | \mathbb{C}[E_{\mathfrak{p}}(n)^*])^{-1} . \end{aligned}$$

The Artin L -function of φ_n is given by the formula

$$\begin{aligned} L(\varphi_n, s) &= \exp \sum_{\mathfrak{p} \notin S} \sum_{\nu=1}^{\infty} \frac{\varphi_n(\mathfrak{p}^{\nu})}{\nu} N\mathfrak{p}^{-\nu s} \\ &= \prod_{\mathfrak{p} \notin S} \det(1 - F_{\mathfrak{P}} N\mathfrak{p}^{-s} | \mathbb{C}[E(n)^*])^{-1} . \end{aligned}$$

The Euler-factors of $L(\psi_n, s)$ and $L(\varphi_n, s)$ are the same for all $\mathfrak{p} \notin S, \mathfrak{p} \nmid n$. Hence $L(\psi_n, s)$ is an Artin L -series up to finitely many Euler factors.

Taniyama's result is the following, [T] Theorem 3. For the reader's convenience we sketch a proof.

Theorem (Taniyama) *Under the previous assumptions the following equality holds in $\text{Re } s > 1 + \frac{dw}{2}$*

$$\prod_{i=0}^d L(\Lambda^i \rho, s)^{(-1)^{d-i}} = \prod_{n=1}^{\infty} L(\psi_n, s) . \quad (3)$$

Proof Taking logarithmic derivatives on both sides we are reduced to showing that for any $\mathfrak{p} \notin S$ we have an equality where the sum on the right is finite

$$\prod_{i=1}^d (\lambda_i^\nu - 1) = \sum_{n=1}^{\infty} \psi_n(\mathfrak{p}^\nu) \quad \text{for } \nu \geq 1 .$$

Here $\lambda_1, \dots, \lambda_d$ are the zeroes of $Q_{\mathfrak{p}, \rho}(T)$. Since $\psi_n(\mathfrak{p}^\nu)$ is multiplicative in n we need to see that

$$\prod_{i=1}^d (\lambda_i^\nu - 1) = \prod_l \sum_{k=0}^{\infty} \psi_{lk}(\mathfrak{p}^\nu) . \quad (4)$$

Here the sums on the right should be finite and equal to 1 for almost all l . The left hand side is in \mathbb{Z} and an elementary argument using assumptions (I) and (II) shows that it is positive. As for the right hand side, if $\mathfrak{p} \nmid l$ we have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & E_l & \xrightarrow{l^k} & E_l & \longrightarrow & E_{\mathfrak{p}}(l^k) \longrightarrow 0 \\ & & \downarrow F_{\mathfrak{p}}^\nu - 1 & & \downarrow F_{\mathfrak{p}}^\nu - 1 & & \downarrow F_{\mathfrak{p}}^\nu - 1 \\ 0 & \longrightarrow & E_l & \xrightarrow{l^k} & E_l & \longrightarrow & E_{\mathfrak{p}}(l^k) \longrightarrow 0 . \end{array}$$

By assumption (I) the middle and left vertical maps are injective with finite cokernels of l -power order. The snake lemma gives an isomorphism

$$\text{Ker}(F_{\mathfrak{p}}^\nu - 1 \mid E_{\mathfrak{p}}(l^k)) \xrightarrow{\sim} \text{Coker}(F_{\mathfrak{p}}^\nu - 1 \mid E_l)_{l^k} .$$

By definition, $\psi_{lk}(\mathfrak{p}^\nu)$ is the number of elements of order l^k in the group on

the left. Hence $\psi_{l^k}(\mathfrak{p}^\nu) = 0$ for large k and we have:

$$\begin{aligned} \sum_{k=0}^{\infty} \psi_{l^k}(\mathfrak{p}^\nu) &= |\text{Coker}(F_{\mathfrak{P}}^\nu - 1 \mid E_l)| \\ &= |\det(F_{\mathfrak{P}}^\nu - 1 \mid E_l)|_l^{-1} \\ &= \left| \prod_{i=1}^d (\lambda_i^\nu - 1) \right|_l^{-1}. \end{aligned}$$

For prime numbers l with $\mathfrak{p} \mid l$ we argue similarly replacing E_l by $E_l^{\text{ét}}$. This gives

$$\sum_{k=0}^{\infty} \psi_{l^k}(\mathfrak{p}^\nu) = |\det(F_{\mathfrak{P}}^\nu - 1 \mid E_l^{\text{ét}})|_l^{-1}.$$

By assumption (III 3) the eigenvalues λ_i which do not occur among the eigenvalues of $F_{\mathfrak{P}}$ on $E_l^{\text{ét}}$ are those with positive l -valuation. For them $\lambda_i^\nu - 1$ is an l -adic unit and therefore we have

$$\sum_{k=0}^{\infty} \psi_{l^k}(\mathfrak{p}^\nu) = \left| \prod_{i=1}^d (\lambda_i^\nu - 1) \right|_l^{-1}$$

in the case $\mathfrak{p} \mid l$ as well. Again the sum is finite. Equation (4) now follows from the product formula. \square

In order to understand Taniyama's formula better, note that the Artin L -series $L(\varphi_n, s)$ is a product of finitely many Dedekind zeta-functions: Decompose the G_K -set $E(n)^*$ into orbits

$$E(n)^* = \coprod_P G_K P$$

where P runs over a set of representatives in $E(n)^*$ of the G_K -orbits. Let K_P be the fixed field of the stabilizer of P in G_K i.e. $G_{K_P} = (G_K)_P$. Then we have

$$\begin{aligned} \mathbb{C}[E(n)^*] &= \bigoplus_P \mathbb{C}[G_K P] = \bigoplus_P \mathbb{C}[G_K / G_{K_P}] \\ &= \bigoplus_P \text{Ind}_{G_{K_P}}^{G_K} (\mathbf{1}). \end{aligned}$$

The formalism of Artin L -series [N] Ch. 7, § 10 now implies the formula

$$L(\varphi_n, s) = \prod_P \zeta_{K_P, S}(s). \quad (5)$$

Here $\zeta_{K_P, S}(s)$ is the Dedekind zeta function of K_P without the Euler factors for primes above S .

We now discuss the cyclotomic character and following Taniyama also the representation of G_K on the Tate-module of an abelian variety.

The group G_K acts on $T_l \mathbb{G}_m = \varprojlim_k \mu_{l^k}(\overline{K})$. The corresponding representations $\rho_l : G_K \rightarrow \mathrm{GL}_1(T_l \mathbb{G}_m) = \mathbb{Z}_l^\times$ form a strictly compatible system $\rho = (\rho_l)$ with empty exceptional set S . We have $P_{\mathfrak{p}, \rho}(T) = 1 - N\mathfrak{p}T$. Hence conditions (I) and (II) are satisfied with $d = 1, w = 2$. Condition (III) holds with $E_l^{\text{ét}} = 0$ and $E_l^0 = T_l \mathbb{G}_m$. The L -function of ρ is $L(\rho, s) = \zeta_K(s - 1)$. We have $E_{\mathfrak{p}} = \prod_{\mathfrak{p} \nmid l} T_l \mathbb{G}_m$ and hence $E_{\mathfrak{p}}(n) = \mu_{n_{\mathfrak{p}}}(\overline{K})$ where $n_{\mathfrak{p}}$ is the biggest prime to \mathfrak{p} factor of n . It follows that $E_{\mathfrak{p}}(n)^* = \emptyset$ hence $\psi_n(\mathfrak{p}^\nu) = 0$ if $\mathfrak{p} \mid n$ and that $E_{\mathfrak{p}}(n)^*$ is the set of primitive n -th roots of unity in \overline{K} if $\mathfrak{p} \nmid n$.

For $\mathfrak{p} \nmid n$ choosing a primitive n -th root of unity ζ_n , the map $\nu \mapsto \zeta_n^\nu$ gives a bijection $(\mathbb{Z}/n)^\times \xrightarrow{\sim} E_{\mathfrak{p}}(n)^*$. Multiplication by $N\mathfrak{p}$ on the left corresponds to the operation by $F_{\mathfrak{p}}$ on the right. It follows that

$$\begin{aligned} \psi_n(\mathfrak{p}^\nu) &= \mathrm{Tr}(F_{\mathfrak{p}}^\nu \mid \mathbb{C}[E_{\mathfrak{p}}(n)^*]) \\ &= \mathrm{Tr}(N\mathfrak{p}^\nu \mid \mathbb{C}[(\mathbb{Z}/n)^\times]) \\ &= \sum_{\chi \in \widehat{(\mathbb{Z}/n)^\times}} \chi(N\mathfrak{p}^\nu) . \end{aligned}$$

Here we have used the decomposition of the regular representation of $(\mathbb{Z}/n)^\times$ into the direct sum of the characters χ of $(\mathbb{Z}/n)^\times$. Setting

$$L_K(\chi, s) = \prod_{\mathfrak{p} \nmid n} (1 - \chi(N\mathfrak{p})N\mathfrak{p}^{-s})^{-1} \quad (6)$$

we therefore find the formula

$$L(\psi_n, s) = \prod_{\chi \in \widehat{(\mathbb{Z}/n)^\times}} L_K(\chi, s) . \quad (7)$$

Taniyama's theorem therefore implies the following relation:

$$\zeta_K(s - 1)/\zeta_K(s) = \prod_{n=1}^{\infty} \prod_{\chi \in \widehat{(\mathbb{Z}/n)^\times}} L_K(\chi, s) \quad \text{in } \mathrm{Re} \, s > 2 . \quad (8)$$

For $K = \mathbb{Q}$, a direct proof of this formula is given in [JY]. We can make formula (5) more explicit in the example. The set $E(n)$ is the set of n -th

roots of unity. The G_K -orbits on $E(n)$ are in bijection with the set $|\mu_{n,K}|$ of closed points P of the finite group scheme $\mu_{n,K} = \text{spec } K[T]/(T^n - 1)$ and K_P is the residue field of P , a finite extension of K . Thus the G_K -orbits on $E(n)^*$ are in bijection with

$$|\mu_{n,K}^*| := |\mu_{n,K}| \setminus \bigcup_{\substack{d|n \\ d \neq n}} |\mu_{d,K}| .$$

According to (5), we have

$$L(\varphi_n, s) = \prod_{P \in |\mu_{n,K}^*|} \zeta_{K_P}(s) . \quad (9)$$

The L -functions $L(\varphi_n, s)$ and $L(\psi_n, s)$ have the same Euler factors for $\mathfrak{p} \nmid n$. For $\mathfrak{p} \mid n$ the Euler factor of $L(\psi_n, s)$ equals 1. Accordingly we modify $\zeta_{K_P}(s)$ for $P \in |\mu_{n,K}^*|$ by removing the Euler factors for primes dividing n . Let $\tilde{\zeta}_{K_P}(s)$ be the resulting zeta function

$$\tilde{\zeta}_{K_P}(s) = \prod_{\mathfrak{q} \nmid n} (1 - N\mathfrak{q}^{-s})^{-1}$$

where \mathfrak{q} runs over the prime ideals of the ring of integers in K_P . Then (9) implies the formula

$$L(\psi_n, s) = \prod_{P \in |\mu_{n,K}^*|} \tilde{\zeta}_{K_P}(s) . \quad (10)$$

Using (8) and the relation $\zeta_{\mathbb{G}_{m,\mathfrak{o}_K}}(s) = \zeta_K(s-1)/\zeta_K(s)$ we get

$$\zeta_{\mathbb{G}_{m,\mathfrak{o}_K}}(s) = \prod_P \tilde{\zeta}_{K_P}(s) . \quad (11)$$

Here P runs over the closed points of $\mathbb{G}_{m,K}$ which are torsion i.e. correspond to Galois orbits of torsion points in $\mathbb{G}_{m,K}(\overline{K})$.

The second example, due to Taniyama in [T] section 18 will be discussed more briefly. Let A/K be an abelian variety of dimension $g \geq 1$ and let S be the set of places where A has bad reduction. Let \mathcal{A} be the extension of A to an abelian scheme over $\text{spec } \mathfrak{o}_K \setminus S$. Then S is the exceptional set of the strictly compatible system $\rho = (\rho_l)$ where ρ_l is the representation of G_K on the Tate module $T_l A = \varprojlim_k A_{l^k}(\overline{K})$. Conditions (I) and (II) are known to be true with $d = 2g$ and $w = 1$ by the work of Weil [We].

As for condition (III) let $K_{\mathfrak{p}}$ be the completion of K at the place \mathfrak{p} . Let $\overline{K}_{\mathfrak{p}}$ be the algebraic closure of $K_{\mathfrak{p}}$ in the completion of \overline{K} with respect to a given place \mathfrak{P} of \overline{K} above \mathfrak{p} . We write $\mathfrak{o}_{\mathfrak{p}}$ and $\overline{\mathfrak{o}}_{\mathfrak{p}}$ for the respective rings of integers. The residue field $k_{\mathfrak{p}} = \mathfrak{o}_K/\mathfrak{p} = \mathfrak{o}_{\mathfrak{p}}/\mathfrak{p}\mathfrak{o}_{\mathfrak{p}}$ has $N\mathfrak{p}$ elements and $\overline{k}_{\mathfrak{p}} = \overline{\mathfrak{o}}_{\mathfrak{p}}/\mathfrak{P}\overline{\mathfrak{o}}_{\mathfrak{p}}$ is an algebraic closure of $k_{\mathfrak{p}}$. Now assume that $\mathfrak{p} \notin S$ and $\mathfrak{p} \mid l$. The connected-étale exact sequence of the l -divisible group $\mathcal{A}(l)$ of \mathcal{A} over $\mathfrak{o}_{\mathfrak{p}}$

$$0 \longrightarrow \mathcal{A}(l)^0 \longrightarrow \mathcal{A}(l) \longrightarrow \mathcal{A}(l)^{\text{ét}} \longrightarrow 0$$

gives an exact sequence of Tate-modules with $G_{\mathfrak{p}} = \text{Gal}(\overline{K}_{\mathfrak{p}}/K_{\mathfrak{p}})$ -action

$$0 \longrightarrow T(\mathcal{A}(l)^0) \longrightarrow T_l \mathcal{A} \longrightarrow T_l(\mathcal{A} \otimes k_{\mathfrak{p}}) \longrightarrow 0 .$$

Setting $E_l^0 = T(\mathcal{A}(l)^0)$ and

$$E_l^{\text{ét}} = T_l(\mathcal{A} \otimes k_{\mathfrak{p}}) = \varprojlim_{\nu} \mathcal{A}(\overline{k}_{\mathfrak{p}})_{l^{\nu}}$$

the conditions in (III) are verified.

For a scheme \mathfrak{X} of finite type over $\text{spec } \mathbb{Z}$ there is the Hasse–Weil zeta function of \mathfrak{X}

$$\zeta_{\mathfrak{X}}(s) = \prod_{x \in |\mathfrak{X}|} (1 - Nx^{-s})^{-1} \quad \text{for } \text{Re } s > \dim \mathfrak{X} .$$

Here $|\mathfrak{X}|$ is the set of closed points of \mathfrak{X} and for $x \in \mathfrak{X}$ the number of elements in the finite residue field $\kappa(x)$ is denoted by Nx . Using the basic relation

$$\zeta_{\mathcal{A}}(s) = \prod_{i=0}^{2g} L(\Lambda^i \rho, s)^{(-1)^i} , \tag{12}$$

Taniyama’s theorem gives the formula

$$\zeta_{\mathcal{A}}(s) = \prod_{n=1}^{\infty} L(\psi_n, s) . \tag{13}$$

We do not work out $L(\psi_n, s)$ completely but only $L(\varphi_n, s)$ which has the same Euler factors for $\mathfrak{p} \nmid n$. We have $E(n) = A_n(\overline{K})$ and $E(n)^*$ is the subset of $A_n(\overline{K})$ of elements of order n . The G_K -orbits on $A_n(\overline{K})$ are in bijection with the closed points P of A_n and K_P is the residue field of P . Set

$$|A_n^*| = |A_n| \setminus \bigcup_{\substack{d \mid n \\ d \neq n}} |A_d| .$$

Then according to (5) we have the formula

$$L(\varphi_n, s) = \prod_{P \in |A_n^*|} \zeta_{K_P, S}(s) .$$

For $P \in |A_n^*|$ let $\tilde{\zeta}_{K_P, S}(s)$ be modifications of $\zeta_{K_P, S}(s)$ at the Euler factors of primes $\mathfrak{p} \mid n$ such that we have

$$L(\psi_n, s) = \prod_{P \in |A_n^*|} \tilde{\zeta}_{K_P, S}(s) .$$

Then Taniyama's formula becomes

$$\zeta_{\mathcal{A}}(s) = \prod_P \tilde{\zeta}_{K_P, S}(s) . \quad (14)$$

Here P runs over the closed torsion points of A .

3 Horizontal factorizations for group schemes

In this section we give a simple geometric proof of a generalization to certain commutative group schemes \mathcal{G} of equations (11) and (14) which concerned \mathbb{G}_m resp. \mathcal{A} . The set of closed points of \mathcal{G} will be essentially partitioned into the set of closed points on the horizontal prime divisors in \mathcal{G} obtained by taking the closures in \mathcal{G} of torsion points P on the generic fibre of \mathcal{G} .

For a finite set S of maximal ideals in \mathfrak{o}_K set $U = \text{spec } \mathfrak{o}_K \setminus S$. Thus $U = \text{spec } \mathfrak{o}_{K, S}$ where

$$\mathfrak{o}_{K, S} = \left\{ \frac{f}{g} \mid f, g \in \mathfrak{o}_K, \mathfrak{p} \nmid g \text{ for } \mathfrak{p} \notin S \right\} .$$

We consider commutative smooth separated group schemes \mathcal{G} of finite type over U for which the n -multiplication is finite and flat for all $n \geq 1$. Thus \mathbb{G}_m and \mathcal{A} above qualify but \mathbb{G}_a does not. It follows that \mathcal{G}_n is a finite flat group scheme over U . For $\mathfrak{p} \in U$ the reduction $\mathcal{G}_{n, k_{\mathfrak{p}}} = \mathcal{G}_n \otimes k_{\mathfrak{p}}$ is an étale group scheme over $k_{\mathfrak{p}}$ if $\mathfrak{p} \nmid n$. For $\mathfrak{p} \mid n$ we have the connected-étale sequence

$$0 \longrightarrow \mathcal{G}_{n, k_{\mathfrak{p}}}^0 \longrightarrow \mathcal{G}_{n, k_{\mathfrak{p}}} \longrightarrow \mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{ét}} \longrightarrow 0 .$$

It splits since $\mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}}$ is a closed subgroup scheme of $\mathcal{G}_{n, k_{\mathfrak{p}}}$ because $k_{\mathfrak{p}}$ is perfect and the composition

$$\mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}} \hookrightarrow \mathcal{G}_{n, k_{\mathfrak{p}}} \longrightarrow \mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{ét}}$$

is an isomorphism, c.f. [Wa] 6.8. The unit section of \mathcal{G} is a regular immersion by [L] 6 Proposition 3.13. Hence $\mathcal{G}_n \hookrightarrow \mathcal{G}$ is a regular immersion as well, the n -multiplication being flat. It follows that \mathcal{G}_n is purely one-dimensional. See [L] 6 Proposition 3.11 for both assertions. The irreducible components of \mathcal{G}_n are therefore the closures in \mathcal{G} of the closed points P of $\mathcal{G}_{n,K} = \mathcal{G}_n \otimes K$. Let $C_P = \{\overline{P}\}$ be the irreducible component of \mathcal{G}_n corresponding to P with its induced reduced structure. Over $U_n = U \setminus S_n$ with $S_n = \{\mathfrak{p} \mid n\}$ the scheme \mathcal{G}_n is finite and étale. Hence the regular purely one-dimensional scheme $\mathcal{G}_n \times_U U_n$ is the disjoint union of the restrictions of the C_P to U_n

$$\mathcal{G}_n \times_U U_n = \coprod_P C_P \times_U U_n . \quad (15)$$

Let K_P be the residue field of P , an algebraic number field. Since $C_P \rightarrow U$ is finite and $U = \operatorname{spec} \mathfrak{o}_{K,S}$ is affine, $C_P = \operatorname{spec} A_P$ is affine as well. The ring A_P is an order in $\mathfrak{o}_{K_P,S}$ and since $C_P \times_U U_n$ and hence A_{P,S_n} is regular we have $\mathfrak{o}_{K_P,S \cup S_n} = A_{P,S_n}$. We therefore find

$$C_P \times_U U_n = \operatorname{spec} \mathfrak{o}_{K_P,S \cup S_n} . \quad (16)$$

Noting that $C_P \times_U U_n$ is even étale over U_n it follows that the extension of number fields K_P/K is unramified at all maximal ideals $\mathfrak{p} \notin S \cup S_n$.

The closed points of \mathcal{G} are contained in the closed fibres $\mathcal{G}_{k_{\mathfrak{p}}} = \mathcal{G} \otimes k_{\mathfrak{p}}$ for maximal ideals $\mathfrak{p} \notin S$. A closed point x of $\mathcal{G}_{k_{\mathfrak{p}}}$ corresponds to a finite $\operatorname{Gal}(\overline{k}_{\mathfrak{p}}/k_{\mathfrak{p}})$ -orbit in $\mathcal{G}_{k_{\mathfrak{p}}}(\overline{k}_{\mathfrak{p}}) = \mathcal{G}(\overline{k}_{\mathfrak{p}})$. Since $k_{\mathfrak{p}}$ is finite, the group $\mathcal{G}(\overline{k}_{\mathfrak{p}})$ is a union of finite abelian groups and hence every element has finite order. It follows that we have an equality

$$|\mathcal{G}| = \bigcup_{n=1}^{\infty} |\mathcal{G}_n| .$$

For any group scheme \mathcal{H} over a scheme we denote by \mathcal{H}_n^* the open subscheme of \mathcal{H}_n of points of order n :

$$\mathcal{H}_n^* = \mathcal{H}_n \setminus \bigcup_{\substack{d \mid n \\ d \neq n}} \mathcal{H}_d .$$

With this notation we get the disjoint decomposition:

$$|\mathcal{G}| = \coprod_{n=1}^{\infty} |\mathcal{G}_n^*| .$$

This implies the formula

$$\zeta_{\mathcal{G}}(s) = \prod_{n=1}^{\infty} \zeta_{\mathcal{G}_n^*}(s) . \quad (17)$$

By equations (15) and (16) we have

$$\mathcal{G}_n^* \times_U U_n = \prod_Q \operatorname{spec} \mathfrak{o}_{K_Q, S \cup S_n} \quad (18)$$

where Q runs over the closed points of order n in \mathcal{G}_K .

Hence

$$\zeta_{\mathcal{G}_n^* \times_U U_n}(s) = \prod_Q \zeta_{K_Q, S \cup S_n}(s) . \quad (19)$$

Changing the finitely many Euler factors at primes dividing n suitably one gets modifications $\tilde{\zeta}_{K_Q, S}$ of $\zeta_{K_Q, S}$ for which the formula

$$\zeta_{\mathcal{G}_n^*}(s) = \prod_Q \tilde{\zeta}_{K_Q, S}(s)$$

holds. Then (17) becomes an equation

$$\zeta_{\mathcal{G}}(s) = \prod_P \tilde{\zeta}_{K_P, S}(s) \quad (20)$$

where P runs over the closed torsion points of \mathcal{G}_K . This gives a simple geometric explanation for equation (14). To make these modifications more explicit we now discuss the zeta-function of \mathcal{G}_n^* in more detail. In the decomposition

$$\zeta_{\mathcal{G}_n^*}(s) = \prod_{\mathfrak{p} \notin S} \zeta_{\mathcal{G}_n^* \otimes k_{\mathfrak{p}}}(s) \quad (21)$$

the factors at primes not dividing n are understood by formula (19). Let now $\mathfrak{p} \notin S$ be a prime with $\mathfrak{p} \mid n$. We have $\mathcal{G}_n^* \otimes k_{\mathfrak{p}} = (\mathcal{G}_{n, k_{\mathfrak{p}}})^*$. Since $k_{\mathfrak{p}}$ is perfect, $\mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}}$ is a closed subgroup scheme of $\mathcal{G}_{n, k_{\mathfrak{p}}}$ and we have

$$(\mathcal{G}_n^* \otimes k_{\mathfrak{p}})^{\text{red}} = \mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}*} \quad \text{and} \quad \mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}} \cong \mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{ét}} .$$

For the \mathfrak{p} -factor in equation (21) we therefore find

$$\zeta_{\mathcal{G}_n^* \otimes k_{\mathfrak{p}}}(s) = \zeta_{\mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{red}*}}(s) = \zeta_{\mathcal{G}_{n, k_{\mathfrak{p}}}^{\text{ét}*}}(s) . \quad (22)$$

Consider the connected-étale sequence for the group scheme $\mathcal{G}_{n,\mathfrak{o}_p} = \mathcal{G}_n \otimes_U \mathfrak{o}_p$

$$0 \longrightarrow \mathcal{G}_{n,\mathfrak{o}_p}^0 \longrightarrow \mathcal{G}_{n,\mathfrak{o}_p} \longrightarrow \mathcal{G}_{n,\mathfrak{o}_p}^{\text{ét}} \longrightarrow 0 .$$

The closed points of $\mathcal{G}_{n,k_p}^{\text{ét}}$ are in bijection with the closed points of $\mathcal{G}_{n,K_p}^{\text{ét}} = \mathcal{G}_{n,\mathfrak{o}_p}^{\text{ét}} \otimes_{\mathfrak{o}_p} K_p$ because the Galois orbits on $\mathcal{G}_{n,\mathfrak{o}_p}^{\text{ét}}(\overline{K}_p) = \mathcal{G}_{n,\mathfrak{o}_p}^{\text{ét}}(\overline{k}_p) = \mathcal{G}_{n,k_p}^{\text{ét}}(\overline{k}_p)$ are the same. Hence the closed points of $\mathcal{G}_{n,k_p}^{\text{ét}*}$ are in bijection with the closed points of $\mathcal{G}_{n,K_p}^{\text{ét}}$ of order n . Therefore the finite product of Euler factors defining $\zeta_{\mathcal{G}_n^* \otimes k_p}(s)$ can also be described in terms of the generic fibre $\mathcal{G}_{n,K_p}^{\text{ét}}$. For $\mathcal{G} = \mathcal{A}$ an abelian scheme over U this is the geometry behind Taniyama's definition of the Euler factors of $L(\psi_n, s)$ over primes \mathfrak{p} dividing n .

Example Consider $\mathcal{G} = \mathbb{G}_{m,\mathfrak{o}_K}$ over $U = \text{spec } \mathfrak{o}_K$ and thus $\mathcal{G}_n = \mu_{n,\mathfrak{o}_K}$. The fibres of \mathcal{G}_n^* over primes $\mathfrak{p} \mid n$ are empty because in this case the order of $\mu_n(\overline{k}_p)$ is less than n and so there are no points of order n . It follows that $\mathcal{G}_n^* = \mathcal{G}_n^* \times U_n$. By formula (19) we have

$$\zeta_{\mathcal{G}_n^* \times U_n}(s) = \prod_Q \zeta_{K_Q, S_n}(s) \quad (23)$$

where Q runs over the closed points of order n in $\mathbb{G}_{m,K}$. The zeta function $\zeta_{K_Q, S_n}(s)$ is the same as the modified Dedekind zeta function $\tilde{\zeta}_{K_Q}(s)$ obtained by removing all Euler factors in $\zeta_{K_Q}(s)$ over primes dividing n . It follows that we have

$$\zeta_{\mathcal{G}_n^*}(s) = \prod_Q \tilde{\zeta}_{K_Q}(s) \quad (24)$$

and therefore by (17) with P running over the closed torsion points of $\mathbb{G}_{m,K}$

$$\zeta_{\mathbb{G}_{m,\mathfrak{o}_K}}(s) = \prod_P \tilde{\zeta}_{K_P}(s) . \quad (25)$$

Thus we obtain equation (11) again. One can make \mathcal{G}_n^* explicit as follows. Consider first the case $K = \mathbb{Q}$. The primitive roots of unity of order n in $\overline{\mathbb{Q}}^\times$ are all conjugated by $G_{\mathbb{Q}}$. Hence $\mathcal{G}_{\mathbb{Q}} = \mathbb{G}_{m,\mathbb{Q}}$ has exactly one closed point Q of order n . It corresponds to the maximal ideal generated by the cyclotomic polynomial $\Phi_n(T)$ in $\mathbb{Q}[T, T^{-1}]$. Its residue field is the cyclotomic field $\mathbb{Q}(\zeta_n)$. Formula (18) therefore gives:

$$\mu_{n,\mathbb{Z}}^* = \mu_{n,\mathbb{Z}}^* \times U_n = \text{spec } \mathbb{Z}[1/n, \zeta_n] = \text{spec } \mathbb{Z}[1/n][T]/(\Phi_n(T)) . \quad (26)$$

The latter description for $\mu_{n,\mathbb{Z}}^*$ is the one used in [JR] Example 3.1. Equation (26) also follows from the fact that Φ_d and Φ_e are coprime in $\mathbb{Z}[1/n][T]$ if $d \neq e$ and $d \mid n$ and $e \mid n$, use e.g. [A]. In any case, one has

$$\zeta_{\mu_{n,\mathbb{Z}}^*}(s) = \tilde{\zeta}_{\mathbb{Q}(\zeta_n)}(s)$$

and therefore

$$\zeta_{\mathbb{G}_{m,\mathbb{Z}}}(s) = \prod_{n=1}^{\infty} \tilde{\zeta}_{\mathbb{Q}(\zeta_n)}(s) .$$

In the general case base change gives

$$\mu_{n,\mathfrak{o}_K}^* = \text{spec } \mathbb{Z}[1/n, \zeta_n] \otimes_{\mathbb{Z}} \mathfrak{o}_K = \text{spec } \mathfrak{o}_K[1/n][T]/(\Phi_n(T)) .$$

Thus the fibre over K decomposes into finitely many points Q corresponding to the irreducible divisors of Φ_n over K . We can form their modified Dedekind zeta functions $\tilde{\zeta}_{K_Q}(s)$ and from them obtain $\zeta_{\mathbb{G}_{m,\mathfrak{o}_K}}(s)$ by formula (25).

4 Generalizations

For a scheme \mathfrak{X} which is separated of finite type over $U = \text{spec } \mathfrak{o}_K \setminus S$ and a group scheme \mathcal{G}/U as before we have

$$|\mathfrak{X} \times_U \mathcal{G}| = \prod_{n=1}^{\infty} |\mathfrak{X} \times_U \mathcal{G}_n^*| .$$

Hence

$$\zeta_{\mathfrak{X} \times_U \mathcal{G}}(s) = \prod_{n=1}^{\infty} \zeta_{\mathfrak{X} \times_U \mathcal{G}_n^*}(s) .$$

Using the decomposition (18) we find

$$\mathfrak{X} \times_U \mathcal{G}_n^* \times_U U_n = \prod_Q \mathfrak{X} \otimes_{\mathfrak{o}_{K,S}} \mathfrak{o}_{K_Q, S \cup S_n} .$$

Hence the zeta-function of $\mathfrak{X} \times_U \mathcal{G}_n^*$ is up to the Euler factors for $\mathfrak{p} \mid n$ equal to the product of the zeta functions of $\mathfrak{X} \otimes_{\mathfrak{o}_{K,S}} \mathfrak{o}_{K_Q, S \cup S_n}$.

For $\mathcal{G} = \mathbb{G}_m$ over $U = \text{spec } \mathbb{Z}$ we have

$$\mathfrak{X} \times \mu_{n,\mathbb{Z}}^* = \mathfrak{X} \otimes \mathbb{Z}[1/n, \zeta_n]$$

and hence

$$\zeta_{\mathfrak{X} \times \mathbb{G}_m}(s) = \prod_{n=1}^{\infty} \zeta_{\mathfrak{X} \otimes \mathbb{Z}[1/n, \zeta_n]}(s) .$$

In [JR] section 3 the zeta function of $\mathfrak{X} \otimes \mathbb{Z}[1/n, \zeta_n]$ is further decomposed into a product of zeta-functions of \mathfrak{X} twisted by Dirichlet characters.

More general schemes

Consider an open subscheme $\mathcal{U} \subset \mathbb{P}^N = \mathbb{P}_{\mathbb{Z}}^N$. We will describe a way to get a horizontal factorization of the zeta function of \mathcal{U} . Setting $\mathfrak{X} = \mathbb{P}^N \setminus \mathcal{U}$, the formula

$$\zeta_{\mathfrak{X}}(s) \zeta_{\mathcal{U}}(s) = \zeta_{\mathbb{P}^N}(s) = \zeta(s) \zeta(s-1) \cdots \zeta(s-N)$$

then gives information on the zeta function of the projective scheme \mathfrak{X} . Using the standard decomposition

$$\mathbb{P}^N = \mathbb{A}^N \amalg \cdots \amalg \mathbb{A}^0$$

we have

$$\zeta_{\mathcal{U}}(s) = \prod_{M=0}^N \zeta_{\mathcal{U} \cap \mathbb{A}^M}(s) . \quad (27)$$

For $I = \{0, 1\}^M$ and $i \in I$ set $A_i = A_{i_1} \times \cdots \times A_{i_M}$ where $A_0 = 0_{\mathbb{A}^M} = \text{spec } \mathbb{Z}$ and $A_1 = \mathbb{G}_m$. Then we have

$$\mathbb{A}^M = \prod_{i \in I} A_i$$

and hence

$$\zeta_{\mathcal{U} \cap \mathbb{A}^M}(s) = \prod_{i \in I} \zeta_{\mathcal{U} \cap A_i}(s) . \quad (28)$$

Using the canonical isomorphism $A_i = \mathbb{G}_m^{|i|}$ where $|i| = i_1 + \cdots + i_M$ we will identify $\mathcal{U} \cap A_i$ with an open subscheme of $\mathbb{G}_m^{|i|}$. Thus we may assume that \mathcal{U} itself is an open subscheme of $\mathcal{G} = \mathbb{G}_m^N$ for some N . From the decomposition

$$|\mathcal{G}| = \prod_{n=1}^{\infty} |\mathcal{G}_n^*|$$

we obtain

$$|\mathcal{U}| = \prod_{n=1}^{\infty} |\mathcal{U} \cap \mathcal{G}_n^*|$$

and hence

$$\zeta_{\mathcal{U}}(s) = \prod_{n=1}^{\infty} \zeta_{\mathcal{U} \cap \mathcal{G}_n^*}(s) .$$

Next, note that

$$\mathcal{G}_n^* = \prod_{j \in J_n} \mu_{j_1}^* \times \dots \times \mu_{j_N}^* ,$$

where J_n is the set of N -tuples $j = (j_1, \dots, j_N)$ of divisors of n whose smallest common multiple is n . Thus

$$\zeta_{\mathcal{U} \cap \mathcal{G}_n^*}(s) = \prod_{j \in J_n} \zeta_{\mathcal{U} \cap (\mu_{j_1}^* \times \dots \times \mu_{j_N}^*)}(s) . \quad (29)$$

We have seen that $\mu_{\nu}^* = \text{spec } \mathbb{Z}[1/\nu, \zeta_{\nu}]$ where ζ_{ν} is a primitive ν -th root of unity. This gives

$$\mathcal{U} \cap (\mu_{j_1}^* \times \dots \times \mu_{j_N}^*) = \mathcal{U} \otimes_{\mathbb{Z}} R_j$$

where

$$R_j = \mathbb{Z}[\zeta_{j_1}] \otimes_{\mathbb{Z}} \dots \otimes_{\mathbb{Z}} \mathbb{Z}[\zeta_{j_N}] \otimes_{\mathbb{Z}} \mathbb{Z}[1/n]$$

is an étale $\mathbb{Z}[1/n]$ -algebra. We have

$$\text{spec } R_j = \coprod_{\alpha} \text{spec } \mathfrak{o}_{K_{\alpha}}[1/n]$$

where α runs over the maximal ideals of $\mathbb{Q}(\zeta_{j_1}) \otimes \dots \otimes \mathbb{Q}(\zeta_{j_N})$. They correspond to the orbits of the diagonal $G_{\mathbb{Q}}$ -action on $\mu_{j_1}^*(\overline{\mathbb{Q}}) \times \dots \times \mu_{j_N}^*(\overline{\mathbb{Q}})$. It follows that

$$\zeta_{\mathcal{U} \cap (\mu_{j_1}^* \times \dots \times \mu_{j_N}^*)}(s) = \prod_{\alpha} \zeta_{\mathcal{U}_{\alpha}}(s) \quad (30)$$

where $\mathcal{U}_{\alpha} = \mathcal{U} \cap \text{spec } \mathfrak{o}_{K_{\alpha}}[1/n]$ is an open subscheme of $\text{spec } \mathfrak{o}_{K_{\alpha}}[1/n]$. Hence, up to finitely many Euler factors, $\zeta_{\mathcal{U}_{\alpha}}(s)$ is the Dedekind zeta-function $\zeta_{K_{\alpha}, S_n}(s)$. Thus, combining formulas (27)–(30), we obtain the desired horizontal factorization of $\zeta_{\mathcal{U}}(s)$.

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